

07-09

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket Number <b>500615.20142</b>	
<b>APPLICATION ELEMENTS</b> <small>Chapter 600 concerning utility patent application contents.</small>		First Inventor or Application Identifier <b>Tadashi Takeda</b>	
		Title <b>OPTICAL HEAD DEVICE</b>	
		Express Mail Label No. <b>EL 915668780 US</b>	
		<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, DC 20231	
<div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small></p><p>2. <input checked="" type="checkbox"/> Specification [Total Pages 18] <small>(preferred arrangement set forth below)</small> -Descriptive title of the Invention -Cross References to Related Applications -Statement Regarding Fed sponsored R &amp; D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure</p><p>3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) [Total Sheets 7]</p><p>4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 2] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see §§ 37 CFR §1.63(d)(2) and 1.33(b)</small> c. <input checked="" type="checkbox"/> Unsigned</p><div style="border: 1px solid black; padding: 2px; font-size: small;"><b>NOTE FOR ITEMS 1 &amp; 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b></div></div><div style="width: 45%;"><p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p><p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p></div></div>			
<b>ACCOMPANYING APPLICATION PARTS</b>			
<div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><p>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p><p>8. <input type="checkbox"/> 37 CFR § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p><p>9. <input type="checkbox"/> English Translation Document (if applicable)</p><p>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copy of IDS Citation</p><p>11. <input type="checkbox"/> Preliminary Amendment</p><p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p><p>13. <input type="checkbox"/> Applicant Claims Small Entity Status</p><p>14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p><p>15. <input type="checkbox"/> Other:</p></div><div style="width: 45%;"></div></div>			
<p>16. If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No. ____/ Prior application information: Examiner ____    Group/Art Unit: ____ <b>For CONTINUATION or DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts</p>			
<b>17. CORRESPONDENCE ADDRESS</b>			
<div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small></div><div>or <input checked="" type="checkbox"/> Correspondence address below</div></div>			
NAME		Gerald H. Kiel, Esq.	
		Reed Smith LLP	
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COUNTRY	US	TELEPHONE	212-521-5400
		FAX	212-521-5450
Name (Print/Type)		Registration No. (Attorney/Agent)	
Signature		Date	
		July 6, 2001	

# FEE TRANSMITTAL for FY 2000

Application No.	Unknown	Filing Date:	July 6, 2001
First Named Inventor	Tadashi Takeda	Group Art Unit:	Unknown
Examiner Name:	Unknown	Attorney Docket No.	500615.20142

## METHOD OF PAYMENT (Check one)

1. ☒ **Payment Enclosed:**      ☒ Check    ☐ Money Order    ☐ Other
2. ☐ The Director is hereby authorized to charge indicated fees to:
- 2a. ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any over payments to:

Deposit Account Number      50-1529

Deposit Account Name      Reed Smith, LLP

## FEE CALCULATION (fees effective 10/1/00)

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	(\$)	Fee Code	(\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$710)</b>

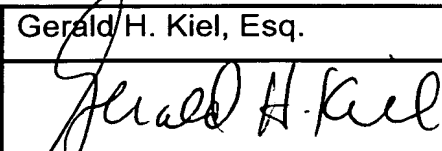
### 2. EXTRA CLAIM FEES

	**No. of Claims		Extra Claims	Fee from Below	Fee Paid
Total Claims	7	-20	0	x 18	0
Independent Claims	1	-3	0	x 80	0
<b>X Multiple Dependent Claims</b>			0	x 270 =	0
<b>SUBTOTAL (2)</b>					<b>(\$ 0)</b>

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Entity (\$)	Small Entity Fee Code	Entity (\$)	Fee Description	Large Entity Fee Code	Entity (\$)	Small Entity Fee Code	Entity (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties).	\$ 0
102	80	202	40	Independent claims in excess of 3	Other:					
104	270	204	135	Multiple dependent claim						
109	80	209	40	Reissue independent claims over original patent						
110	18	210	9	Reissue claims in excess of 20 and over original patent						
SUBTOTAL (3)										\$ 0

### SUBMITTED BY

Typed or Printed Name	Gerald H. Kiel, Esq.	Date: July 6, 2001	Complete (if applicable)
Signature			Reg. Number
			25,116
			Deposit Account User ID
			50-1529

## Certificate of Mailing by "Express Mail"

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